



## Additional Eligibility Criteria for Responder Only Profile

*Approved by the Coordinating Committee for Pilot Purposes: 7/8/14*

Participants who are approved by the Coordinating Committee to support the Responder Only Profile (Responder Only Participants) shall also satisfy an additional set of eligibility criteria to those in Operating Policy and Procedure #1 (OPP#1). The additional responder only eligibility criteria are described below. These eligibility criteria only apply to the Responder Only Participant in their capacity as an eHealth Exchange Participant.

### **Responder Only Participants shall:**

1. Facilitate exchange solely as an agent of its covered entity healthcare provider or health plan customer.
2. Have formal contractual mechanisms in place authorizing the Responder Only Participant to respond to requests on behalf of their healthcare provider or health plan customers through eHealth Exchange.
3. Have established mechanisms to assure its Participant Users (e.g. healthcare providers, health plans) are aware of and understand the Responder Only Participant's obligations under the DURSA (e.g. how patient / member information would be used and exchanged, the Participant's accountability to the Participant User, etc.)
4. A Responder Only Participant should not have rights to the records passing through their system or use/re-use of those records independent of their Participant Users (e.g. covered entity healthcare provider, health plan). In other words, a Responder Only Participant is not permitted to transmit, aggregate, de-identify or sell data for its own benefit.
5. A Responder Only Participant may save the data temporarily for the purpose of transforming the data, aggregating the data for the purpose of creating a longitudinal record, or retain data for specified period of time to support the operational service for its customer (e.g. for auditing purposes, or authorization/consent tracking).
6. Responder Only Participants are only authorized to respond to requests for data as validated and authorized by the Coordinating Committee. Responder Only Participants are not authorized to initiate queries.
7. A Responder Only Participant should have the ability to terminate their Participant User's access to the eHealth Exchange if requested by the Coordinating Committee, or if the Participant User presents a risk to the privacy or security of information transacted with other eHealth Exchange Participants.
8. Responder Only Participants must, at a minimum, be capable of providing structuring data as per existing eHealth Exchange policy (i.e. a minimum of one of the following: C32, Bridge C32, C-CDA).