



## eHealth Exchange Network in U.S. – Bottom Up Complements Top Down?

Mariann Yeager, MBA CEO of The Sequoia Project June 7, 2016





# The Sequoia Project's Role

The Sequoia Project is a trusted, independent convener of industry and government.

We address the practical challenges of secure, interoperable nationwide health information exchange.







# The Sequoia Project Initiatives

The Sequoia Project's independent initiatives each have their own:

- Mission
- Governance
- Membership
- Structure

A

The Sequoia Project is an ideal home for projects that require a collaborative environment where multiple parties with differing perspectives can work together.





# **Current Sequoia Project Initiatives**

eHealth Exchange

The **eHealth Exchange** is the largest and fastest growing health data sharing network in the US.



**Carequality** facilitates consensus on a standardized, national-level interoperability framework to link all data sharing networks from across the entire US healthcare ecosystem.

**RSNA** Image Share

**RSNA Image Share Validation Program** is an interoperability testing program to enable seamless sharing of medical images.

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# eHealth Exchange

## The Largest Health Information Exchange Network in the U.S.

An initiative of Security project





# **Choosing How to Connect**



Centralized

Federated



Federated with Shared Services (Hybrid)

"Hub" networks

The Internet

eHealth Exchange









Shared Governance and Trust Agreement

Common Standards, Specifications & Policies

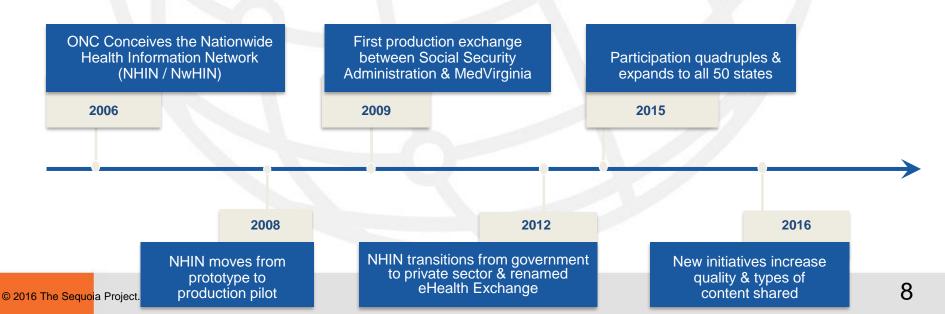




## **10 Years of Experience**

- A solid proven governance model
- Common legal agreement minimizes
  barriers to nationwide exchange
- Mature capabilities, processes, operations, testing, and strong cultural knowledge base
- Testing and onboarding have simplified efforts for partners to exchange nationwide

- Growth is in the number of medical groups
- Federal partner programs leverage eHealth Exchange as integral part of their interoperability strategy
- Relatively negligible maintenance costs
- Recognized by SDOs as significant nationwide community of implementers







### Largest Health Information Exchange Network in the U.S. Today, the eHealth Exchange connects: 26,000 medical groups All 50 states 3,400+ dialysis centers 4 federal agencies (DoD, VHA, HHS including 8,300 pharmacies CMS, and SSA) Supporting more than ~50% of U.S.

100 million patients

hospitals





# eHealth Exchange Core Values

- Lead in national-level exchange of health information to establish interoperability as a standard, while fostering and supporting innovation
- Maintain openness and transparency in the conduct of operations
- Cultivate trust and protection of information exchanged
- Encourage participation and inclusiveness across a diverse set of stakeholders
- Provide for accountability, fairness and due process
- Maximize effectiveness and efficiency in the exchange of health information
- Evaluate, learn and promote continuous improvement in its own operations







### eHealth Exchange has a Trust Foundation

- Legal Agreement
- Governing Committee
- Operating Policies and Procedures

### eHealth Exchange uses Technical Services

- Web services registry (phone book of network Participants)
- Security (x.509 Managed Certificate Authority)
- Automated testing using Aegis platform







# National Use Cases and Standards Supported



#### **Use Cases**

- Treatment / Care Coordination
- Military / Veteran Health
- Disability Benefits
  Determination
- Quality Measures Reporting
- Immunizations
- Consumer Access
- Life Insurance

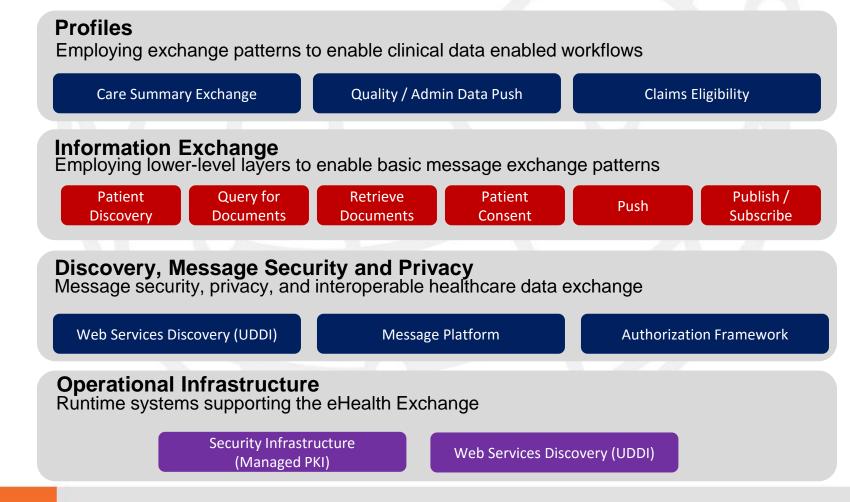
#### **Standards**

- Query: SOAP / SAML + IHE Suite
- Push: Direct, Document Submission / Admin Distribution
- Content: C32, CCDA, quality measures
- FHIR
- Others under consideration





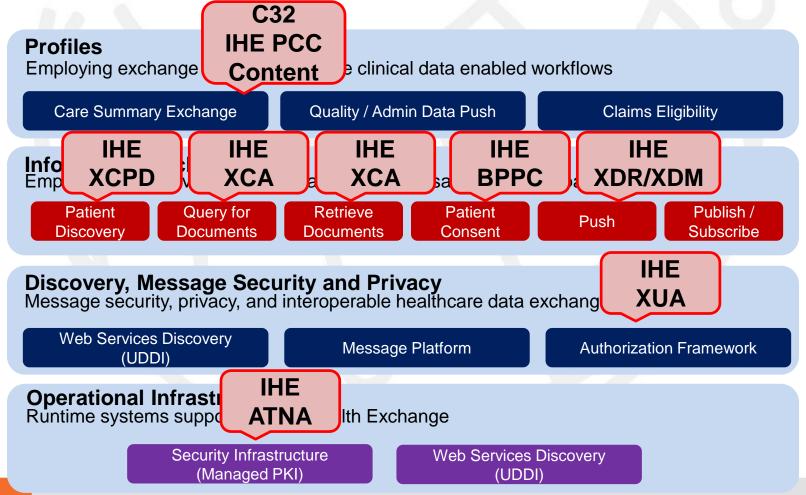
### eHealth Exchange Architectural Layers/Specifications







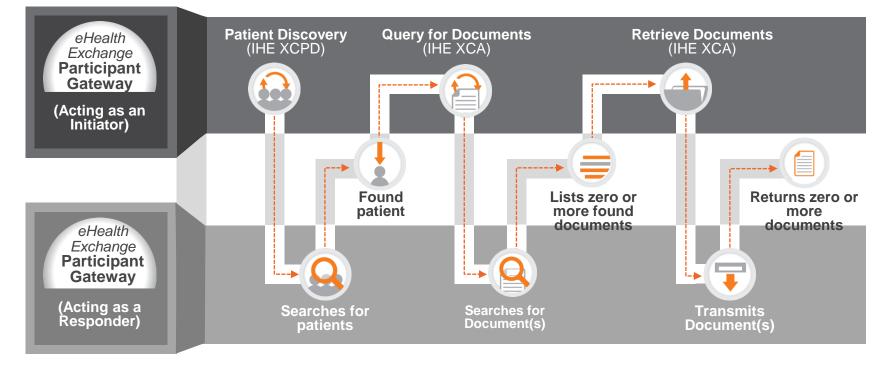
## IHE Mappings to eHealth Exchange Architecture







# eHealth Exchange Query Workflow







# **Onboarding & Testing Process**



Timelines are based on averages and may be extended depending on Applicant's internal constraints (e.g., legal review, configuration/setup of technical environments, configuration control processes, technical resource availability. The test lab (Developers Integration Lab – DIL) is currently available to any organization that wants to begin practice testing.





## eHealth Exchange Validation Programs

### **Participant Testing**

- Verifies that a participant's implemented exchange gateway complies with the eHealth Exchange specifications, and validates for known interoperability and security risks
- Required for new participants and existing who wish to test for new functions or retest for major system changes

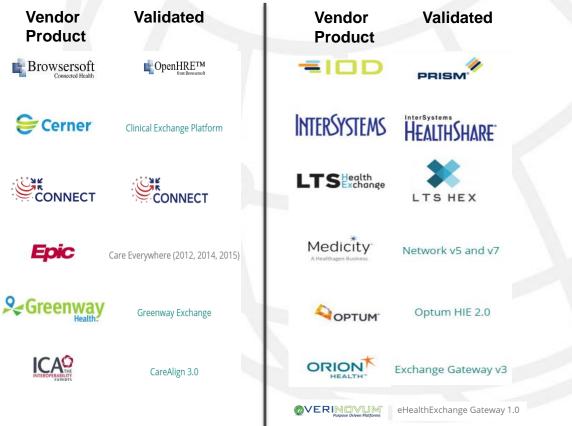
#### **Product Testing**

- Focus on compliance and interoperability testing of the products "out of the box"
- Reduces cost and burden for participants to onboard by approximately 50%
- Reduces risk of interoperability issues being introduced into production, including the cost and burden of fixing interoperability issues and deploying patches





## eHealth Exchange Validated Products



Validated eHealth Exchange

Health IT systems complete rigorous set of tests to validate:

- Conformance to underlying standards and specifications
- Systems are free from known interoperability issues - transport, security, transactions and content (if not MU certified)
- Configured and operate securely (negative security tests)





# eHealth Exchange Impact

Benefit		How eHealth Exchange Achieves Benefit
	Reducing Costs	Using common standards, legal agreements and governance enables participants to reduce legal fees and avoid building custom interfaces with trading partners.
	Improving Clinical and Business Decisions	Access to a nationwide data sharing network provides secure access to the comprehensive health data that healthcare providers, pharmacies and payers require to improve clinical decision making, patient safety, process improvement and fair payment.
	Exchanging Data with Government Agencies	Federal participation in the eHealth Exchange supports data sharing among all participants and with agencies such as Centers for Medicare & Medicaid Services, the Department of Defense, the Social Security Administration and the Department of Veterans Affairs.
	Planning for the Future	Because the eHealth Exchange is governed by a representative set of participants, its multi-purpose interoperability platform has the ability to evolve and incorporate new use cases, standards, etc.







# Who Benefits?

#### PATIENTS

- Improves Care Coordination and can reduce medical errors
  - Expedites Social Security Benefits for the disabled
- Ensures that individuals with End Stage Renal Disease receive the highest quality of care

#### PROVIDERS



- Allows access to critical information such as test results, medication history and allergy information is available to providers when the patient is transferred to another service.
- Enables exchange with government providers with national level ROI
- Can earn credit for MU2 Transitions of Care Measures

#### ACTIVE DUTY MILITARY, RETIREES, AND VETERANS



 Supports active duty military, retirees, their families, and veterans throughout their care by making it possible for medical records to follow the patient

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## **eHealth** Exchange

#### Lessons Learned in Building a Federated Health Data Sharing Network

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# Growing a Nationwide Network

### Top Down

- Government supports uniform approach
- Strong government support and participation in pilot
- Driving private sector adoption:
  - Federal agencies participation
  - Meaningful use
  - Alt. payment structures

### **Bottom Up**

- Provider and patient demand
- Private sector collaboration on implementation details
- Health IT technologies maturing
- Workflow improvements being made
- Exploring additional uses of the connectivity beyond federal use cases







# Common Legal Agreement

- Eliminates one-to-one legal agreements
- Saves money with uniform contracts, policies and governance
- Contractual enforcement of compliance
- Provides transparency
- Creates clear expectations for participants







# Test – Test - Test

- Testing should meet business and technical needs (e.g. test once, exchange with many)
- Need for more rigorous testing of clinical documents
- Network-level testing should focus on increasing assurances of interoperability in production
- Goal should be to reduce network-level testing over time as interoperability "is built into" products
- Testing should evolve as health IT capabilities mature
- Incremental improvements over time essential
- Feedback loop to standards development organizations





## Journey Towards "Seamless Interoperability"

- Highly constrained specifications
- Send strictly, receive liberally (can be expensive)
- Key is to focus on the basics (simple is difficult enough!)
- Collaborate early to ensure the strategy is not siloed
- Very precise specifications are elusive
- If a spec is testable then you've won the battle (or at least a skirmish)
- Transport, security, web services are not the complete picture
  - Data sharing policies, patient matching, consent, content, work flow

#### "Flexibility and optionality are the enemy of interoperability"

--Wes Rishel -- Vice President & Distinguished Analyst -- Gartner





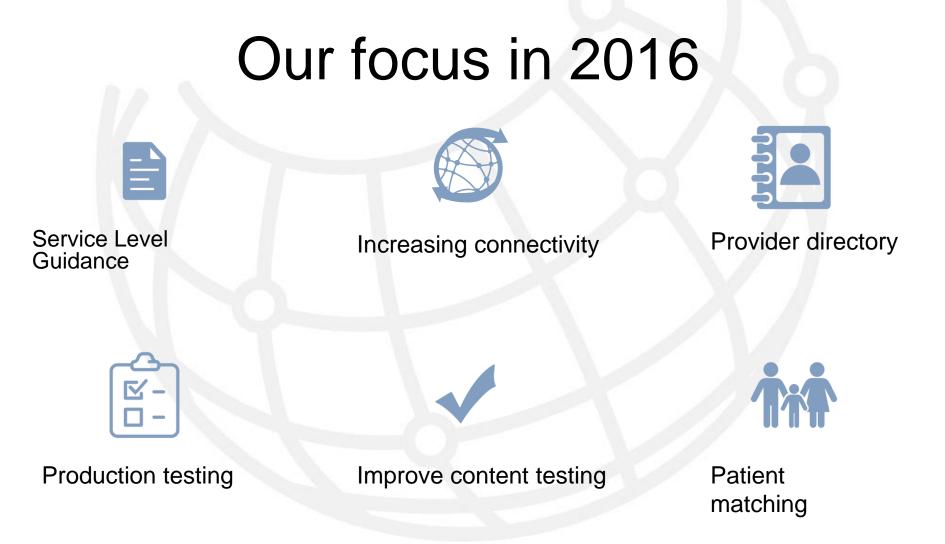


# **Costs and Savings**

- eHealth Exchange has a non-profit, co-op business model
- Seeking to provide services as close to free as possible shared savings model
- Leveraging a mutual investment in process, collaboration, specifications, tooling
- Network testing is not a profit center
- "Test once, exchange anywhere" goal
- Upfront costs result in lower downstream costs by shifting expenses forward in timeline where they are less expensive
- Optional vendor/product testing reduced level of effort
- Automation is the absolute key (quality, fast turnaround loop, continuous testing, cost-efficient)

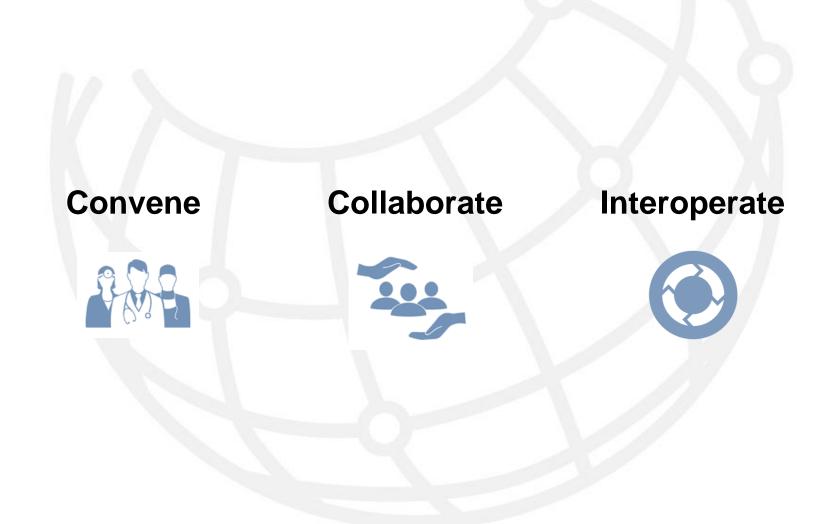
















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## **Questions & Discussion**

### www.sequoiaproject.org





## **Thank You!**